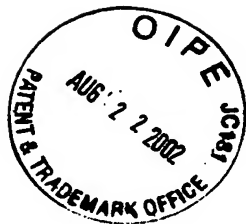


#6




PATENT APPLICATION  
ATTORNEY DOCKET  
NO. 72978

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: LI, et al. )  
Appln. No.: 10/075,105 )  
Filed: February 13, 2002 )  
Title: A BI-DIRECTIONAL DUAL PROMOTER )  
COMPLEX WITH ENHANCED PROMOTER )  
ACTIVITY FOR TRANSGENE )  
EXPRESSION IN EUKARYOTES )  
Group )  
Art Unit: 1645 )  
Examiner: Not Yet Known )

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on this date.

08/19/02   
Date James P. Krueger  
Registration No. 35,234  
Attorney for the Applicants

Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

PRELIMINARY AMENDMENT

Dear Sir:

This Preliminary Amendment is submitted in response to the Notice to File Missing Parts dated April 18, 2002, in the above-referenced Application, a copy of the Notice is enclosed.

IN THE SPECIFICATION:

Please insert the Sequence Listing provided herewith in place of the Sequence Listing filed with the Application.

REMARKS

The Sequence Listing provided by this Amendment is submitted in accordance with 37 C.F.R. §1.821(c). The Sequence Listing adds no new matter as the sequences set forth